

N THE NITED STATES PATENT AND TRADEMARK OFFICE

Applicants

John C. Harvey and

James W. Cuddihy

Serial No.

08/477,805

Docket No.

5634.0197

Filed

June 7, 1995

For

SIGNAL PROCESSING APPARATUS AND METHODS

Group Art Unit

2699

Examiner

FAILE, A.

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MAR 2 2 2002

Box: FEE-AMENDMENT

Commissioner for Patents

Washington, D.C. 20231

Technology Center 2600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants respectfully request consideration of the references listed on the attached Form PTO-1449.

Applicants respectfully request that the Examiner consider the references cited on the PTO-1449 and that the Examiner indicate that the references have been considered in this application by returning a copy of the Form PTO-1449 with the Examiner's initials in the left column per M.P.E.P. § 609.

I. Description of the References

The references identified in this Supplemental Information Disclosure Statement ("Supplemental IDS") can be grouped into three categories. *First*, there are references identified in this Supplemental IDS that were cited to the PTO in connection with related applications, but were not cited in the instant application due to applicants' asserted

priority date of November 3, 1981. Applicants are now citing these references because the Examiner has challenged applicants' November 3, 1981 priority date. Applicants maintain, however, that this application is entitled to the benefit of the challenged November 3, 1981 filing date.

Second, applicants have identified in this Supplemental IDS references made of record in applicants' related applications that have not previously been made of record in the instant case.

Third, this Supplemental IDS identifies two new references that have not previously been identified in this application or any other related applications to date.

These two new references are Japanese references that came to applicants' attention during the prosecution of applicants' applications pending in Japan. These new references are: JP 59-224988 and JP 61-174889.

II. Description of Corrections

In the course of preparing this Supplemental IDS applicants identified a number of miscellaneous inadvertent clerical errors contained in previously filed information disclosure statements. Applicants have corrected any such inadvertent errors with respect to references that are identified in this Supplemental IDS. With respect to inadvertent errors that occurred in information disclosure statements previously filed in this application applicants' identify and correct such inadvertent errors as follows:

• In the May 11, 2001 Information Disclosure Statement, the foreign patent reference previously identified as JP 59-50134 is correctly identified as JP 59-501340. Also in the May 11, 2001 Information Disclosure Statement, the foreign patent reference FR 79-03351 identifies the French application

number. The correct identification of this reference is publication number FR 2,417,226.

- with respect to foreign patent references in various information disclosure statements, information regarding the publication dates of these references may not have been supplied or may have been incorrectly identified.

 Additionally, applicants made inadvertent errors with respect to the provision of translations for certain foreign language references. A corrected complete list of all foreign references made of record in applicants' related pending applications is identified in Appendix A.
- In the concise explanation previously provided for foreign reference DE
 2,338,330, this reference was misidentified. The correct patent number for this German reference is DE 2,338,380.

III. Concise Explanations of Foreign Language References

Applicants unintentionally may not have provided concise explanations for certain previously identified foreign language references. Concise explanations for these references are provided in Appendix B. Additionally, in Appendix B applicants provide concise explanations for the two new Japanese references cited for the first time in this Supplemental IDS.

Applicants' submission of Appendix B meets the requirements regarding a concise explanation of foreign references as set forth in 37 CFR 1.98 and M.P.E.P. § 609.

IV. Conclusion

Pursuant to an agreement reached between the Examiner and applicants (see first Office Action issued in this application), applicants are not submitting copies of the

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references cited in this Supplemental IDS where applicants have previously provided copies of all of the references cited herein in related applications. Applicants are presently providing newly acquired translations of three Japanese patent applications, 58-99817, 56-84340 and 58-29020 in applicants' application number 08/113,329, pursuant to the same agreement mentioned above.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance. 37 C.F.R. § 1.97(c).

Submitted herewith is a check in the amount of \$180.00 to cover the fee for filing this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.17(p). Any deficiency in or overpayment of this fee should be charged or credited to Deposit Account No. 50-0206.

Date: March 19, 2002 HUNTON & WILLIAMS 1900 K Street, N.W. Washington, DC 20006

Respectfully submitted,

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	INFORMATION DISCLOS SEADEMENT BY APPLIC		05634.0197		08/477,805
/o\'	-67	ZAIVI	Attorney Docket No. 05634.0197 Applicant(s) John C. Harvey and James W. Cuddihy Filing Date June 7, 1995 PATENT DOCUMENTS CLASS/ Serial No. 08/477,805 Group Aftonit 2699 PATENT DOCUMENTS		
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_	<u> </u>		June 7, 1995		2099 976
& LADAL &	TRADEMA	UNITED STATES I	PATENT DOCUMENTS)	·(er 26
EXAMINER	PATENT	PATENT			
NITIAL	NUMBER	DATE	NAME	SUBCLASS	
	1,992,271	02/26/35	Williams	10110100	09/17/31
	2,046,381	07/07/36	Hicks et al.	12/10/30	
	2,192,217	03/05/40	Bellamy, et al.	07/15/29	00/40/20
	2,217,881	10/15/40	Allen		02/18/32
	2,236,077	03/25/41	Smith	L	05/29/30
	2,264,563	12/02/41	Bumstead	05/04/40	01/02/32
	2,570,209	10/09/51	Cotsworth, III	05/01/48	00/40/54
	2,855,993	10/14/58	Rahmel	358/84	03/19/54
	2,995,624	08/08/61	Watters	05/00/50	03/11/59
	3,011,153	11/28/61	Haselton et al.	05/23/56	
	3,029,308	04/10/62	Adler et al.	09/22/58	05/40/60
	3,082,402	03/19/63	Scantlin	00/44/07	05/10/60
	3,366,731	01/30/68	Wallerstein	08/11/67	
	3,612,752	10/12/71	Banning, Jr.	178/5.1	06/22/66
	3,764,983	10/09/73	Stok	340/150	05/03/72
	3,778,058	12/11/73	Rausch	463/3	06/17/71
	4,059,729	11/22/77	Eddy et al.	179/15 BF	
	4,061,879	12/06/77	Wintzer	179/15 BA	
	4,162,483	07/24/79	Entenman	340/147 R	
	4,225,918	09/30/80	Beadle et al.	364/200	03/09/77
	4,319,353	03/09/82	Alvarez, III et al.	370/104	02/29/80
	4,338,643	07/06/82	Tadokoro	360/135	00/00/00
	4,365,249	12/21/82	Tabata	340/825.3	
	4,369,333	01/18/83	Gemperle et al.	178/22.13	
	4,380,027	04/12/83	Leventer et al.	358/147	12/08/80
	4,383,273	05/10/83	Lunn	348/725	12/29/80
	4,386,416	05/31/83	Giltner et al.	364/900	06/02/80
	4,405,946	09/20/83	Knight	358/192.1	
	4,410,911	10/18/83	Field et al.`	358/121	
	4,412,244	10/25/83	Shanley, II	358/22	
	4,414,516	11/08/83	Howard	333/21A	
	4,425,664	01/10/84	Sherman et al.	375/8	08/24/81
	4,426,698	01/17/84	Pargee, Jr.	371/37	00/24/01
	4,429,385	01/31/84	Cichelli et al.	370/92	
	4,433,211	02/21/84	McCalmont et al.	179/1.5 S	
	4,439,785	03/27/84	Leonard	358/120	
	4,449,249	05/15/84	Price	455/45	
	4,450,442	05/22/84	Tanaka	340/814	
	4,451,700	05/29/84 06/12/84	Kempner et al. Lund et al.	179/2 AS 358/118	

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INITIAL	NUMBER	DATE	NAME	SUBCLASS	DATE*
	4,454,594	06/12/84	Heffron et al.	364/900	
	4,456,925	06/26/84	Skerlos et al.	358/85	
	4,458,109	07/03/84	Mueller-Schloer	178/22.11	MAR 2 2 COR2
100	4,458,268	07/03/84	Ciciora	358/120	
0. 5 💯 🗆	4,458,315	07/03/84	Uchenick	364/200	1/4p
(2)	4,460,922	07/17/84	Ensinger et al.	358/122	Chrology Center 2600
IAR 1 9 2002	4,461,032	07/17/84	Skerlos	455/4	0000 1000
ري	4,462,076	07/24/84	Smith, III	364/200	Cent
ate	4,462,078	07/24/84	Ross	364/300	360
MADEMARKS	4,475,153	10/02/84	Kihara et al.	364/145	90
	4,475,189	10/02/84	Herr et al.	370/62	
	4,476,573	10/09/84	Duckeck	455/45	
	4,477,830	10/16/84	Lindman et al.	358/1	
	4,484,027	11/20/84	Lee et al.	178/22.13	
<u> </u>	4,484,217	11/20/84	Block et al.	358/84	
<u>I</u>	4,486,773	12/04/84	Okubo	358/84	
	4,488,289	12/11/84	Turner	370/60	
	4,489,220	12/18/84	Oliver	179/2 AM	
	4,491,945	01/01/85	Turner	370/60	
	4,494,142	01/15/85	Mistry	358/118	1
	4,494,156	01/15/85	Kadison et al.	360/48	
<u></u>	4,494,230	01/15/85	Turner	370/60	
	4,495,623	01/22/85	George et al.	371/38	09/02/82
	4,495,654	01/22/85	Deiss	455/151	
	4,496,171	01/29/85	Cherry	283/61	
	4,496,975	01/29/85	Noirel	358/147	
	4,496,976	01/29/85	Swanson et al.	358/147	
	4,498,098	02/05/85	Stell	358/22	
<u></u>	4,503,287	03/05/85	Morris et al.	178/22.08	
<u> </u>	4,503,538	03/05/85	Fritz	371/28	
			Freeman	358/86	
	4,507,680	03/26/85 04/02/85	Baran et al.	358/86	
	4,509,073	04/02/85	Bonneau et al.	455/181	
	4,510,623	04/16/85	Turner	370/60	
	4,512,011	04/16/85	Poetsch et al.	358/214	
	4,513,324	05/28/85	Cox et al.	358/147	
	4,520,392		Von Kohorn	358/335	
	4,520,404	05/28/85	Abraham	358/86	
	4,521,806	06/04/85	Sirazi	358/86	
	4,527,194	07/02/85	Wechselberger et	000/00	
	4,531,020	07/23/85	al.	178/22.08	
	4,532,540	07/30/85	Wine	358/12	03/28/83
	4,532,547	07/30/85	Bennett	358/148	
	4,532,547	08/06/85	McNamara et al.	358/122	
		08/06/85	Fujimura et al.	358/122	
	4,533,949	08/13/85	Arn et al.	358/123	
	4,535,355 4,538,174	08/27/85	Gargini et al.	358/86	

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INITIAL	NUMBER	DATE	NAME	SUBCLASS	DATE*
HVITIZE	4,539,676	09/03/85	Lucas	370/60	, , , , , , , , , , , , , , , , , , ,
	4,540,849	09/10/85	Oliver	179/2 AM	
	4,543,616	09/24/85	Brooks	358/335	
OLPE	4,544,963	10/01/85	Jacoby et al.	360/40	
01. 6	4,546,382	10/08/85	McKenna et al.	358/84	
- 4 \	4,546,387	10/08/85	Glaab	358/186	
MAR 1 9 2002	4,550,407	10/29/85	Couasnon et al.	371/29	i i
TRADEMARK	4,553,252	11/12/85	Egendorf	377/15	
2. 182	4,554,418	11/19/85	Toy	179/2 DP	MAR 2 2 2002
PADENT	4,554,584	11/19/85	Elam et al.	250/465	11 66/1
	4,558,464	12/10/85	O'Brien, Jr.	455/4	The state of the s
	4,562,306	12/31/85	Chou et al.	178/22.08	10/100 · C 20
		12/31/85	Glaab	358/120	10/09/0
	4,562,465			350/120	Contraction
	4,562,495	12/31/85	Bond et al.	360/78	2000
	4,563,702	01/07/86	Heller et al.	358/119	MAR 2 ZOO2 Conter 2000
	4,566,034	01/21/86	Harger et al.	330/194.1	
	4,567,512	01/28/86	Abraham	358/86	
	4,570,930	02/18/86	Matheson	273/1 E	<u> </u>
	4,573,072	02/25/86	Freeman	358/86	
	4,573,151	02/25/86	Jotwani	370/56	
	4,574,305	03/04/86	Campbell et al.	358/86	
	4,575,750	03/11/86	Callahan	358/86	
	4,577,289	03/18/86	Comerford et al.	364/900	
	4,578,536	03/25/86	Oliver et al.	179/2 AM	
	4,578,718	03/25/86	Parker et al.	360/10.3	
	4,580,165	04/01/86	Patton et al.	358/148	
	4,583,128	04/15/86	Anderson, Jr. et al.	358/302	
	4,584,641	04/22/86	Guglielmino	364/200	
	4,586,134	04/29/86	Norstedt	364/200	
	4,588,991	05/13/86	Atalla	340/825.31	
	4,589,064	05/13/86	Chiba et al.	364/200	
	4,590,516	05/20/86	Abraham	358/86	
	4,591,248	05/27/86	Freeman	352/133	
	4,591,664	05/27/86	Freeman	179/6.06	
<u> </u>			Morales-Garza et		
	4,591,906	05/27/86	al.	358/84	
	4,592,546	06/03/86	Fascenda et al.	273/1 E	
	4,593,376	06/03/86	Volk	364/900	
	4,594,609	07/10/86	Romao et al.	358/119	
	4,595,950	06/17/86	Löfberg	358/122	
	4,595,951	06/17/86	Filliman	358/147	
	4,595,952	06/17/86	Filliman	358/47	
	4,596,021	06/17/86	Carter et al.	375/5	
	4,597,058	06/24/86	Izumi et al.	364/900	
<u> </u>	4,599,611	07/08/86	Bowker et al.	340/721	
	4,599,647	07/08/86	George et al.	358/122	
	4,600,918	07/15/86	Belisomi et al.	340/711	

EXAMINER	PATENT	PATENT		CLASS/	FILING
INITIAL	NUMBER .	DATE	NAME	SUBCLASS	DATE*
	4,600,921	07/15/86	Thomas	340/825.31	
	4,602,279	07/22/86	Freeman	358/86	
	4,603,232	07/29/86	Kurland et al.	179/2 AS	
	4,605,964	08/12/86	Chard	358/147	
	4,605,973	08/12/86	Von Kohorn	358/335	
UIPE	4,608,456	08/26/86	Paik et al.	179/1.5 S	
5	4,613,901	09/23/86	Gilhousen et al.	358/122	PECEIVE Chnology Cervice
MAR 1 9 2002	4,614,972	09/30/86	Motsch et al.	358/147	, CX,
MAK 1 3 ZUUZ	4,616,262	10/07/86	Toriumi et al.	358/183	MAP
\$	4,616,263	10/07/86	Eichelberger	358/185 /6	Chnology Center < 600
PADEMARK	4,620,224	10/28/86	Lee et al.	358/119	10/0gy 2002
	4,620,227	10/28/86	Levin et al.	358/147	CENTER
	4,620,229	10/28/86	Amano et al.	358/194.1	~ <60 ₀
	4,621,259	11/04/86	Schepers et al.	340/707	
	4,621,285	11/04/86	Schilling et al.	358/120	
	4,623,920	11/18/86	Dufresne et al.	358/122	01/20/83
	4,625,235	11/25/86	Watson	358/86	
	4,626,892	12/02/86	Nortrup et al.	358/21 R	
	4,626,909	12/02/86	Oniki et al.	358/114	
	4,630,108	12/16/86	Gomersall	358/84	
	4,630,262	12/16/86	Callens et al.	370/81	
	4,633,297	12/30/96	Skerlos et al.	358/22	
	4,634,807	01/06/87	Chorley et al.	178/22.08	
	4,634,808	01/06/87	Moerder	178/22.14	
	4,635,121	01/06/87	Hoffman et al.	358/188	
	4,636,858	01/13/87	Hague et al.	358/147	
	4,638,181	01/20/87	Deiss	307/243	
	4,638,357	01/20/87	Heimbach	358/121	
	4,638,359	01/20/87	Watson	358/147	-
	4,639,779	01/27/87	Greenberg	358/142	
	4,639,890	01/27/87	Heilveil et al.	364/900	
	4,641,205	02/03/87	Beyers, Jr.	360/33.1	
	4,641,253	02/03/87	Mastran	364/328	
	4,641,307	02/03/87	Russell	370/60	
	4,644,396	02/17/87	lwasaki	380/6	05/24/85
	4,646,075	02/24/87	Andrews et al.	340/747	
	4,647,964	03/03/87	Weinblatt	358/84	
	4,649,533	03/10/87	Chorley et al.	370/58	
	4,656,629	04/07/87	Kondoh et al.	370/85	
	4,658,093	04/14/87	Hellman	380/25	
	4,658,290	04/14/87	McKenna	358/84	
	4,663,735	05/05/87	Novak et al.	364/900	
	4,677,434	06/30/87	Fascenda	380/23	
	4,677,466	06/30/87	Lert, Jr. et al.	358/84	
	4,677,611	06/30/87	Yanosy, Jr. et al.	370/85	
	4,680,581	07/14/87	Kozlik et al.	340/825.06	-
	4,685,056	08/04/87	Barnsdale, Jr. et al.	364/200	<u> </u>

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INITIAL	NUMBER	DATE	NAME	SUBCLASS	ADATE*
	4,685,131	08/04/87	Horne	380/20	, CC
	4,688,197	08/18/87	Novak et al.	365/230	MAR 2 2 COD2 Chrology Center 2600
	4,688,247	08/18/87	Davidov	380/19 6	Cy 122
	4,689,022	08/25/87	Peers et al.	434/307	10/00 <000
	4,689,619	08/25/87	O'Brien, Jr.	340/825.08	Cen
	4,691,351	09/01/87	Hayashi et al.	380/10	, of 260.
JILE T	4,692,817	09/08/87	Theis	360/12	90
<u> </u>	4,692,819	09/08/87	Steele	360/72.1	
AR 1 9 2002 5	4,694,490	09/15/87	Harvey et al.	380/20	
~ 11	4,694,491	09/15/87	Horne et al.	380/20	03/11/85
TRADEM PRO	4,695,953	09/22/87	Blair et al.	364/410	04/14/86
TRADEMA	4,696,034	09/22/87	Wiedemer	380/16	10/12/84
	4,697,281	09/29/87	O'Sullivan	379/59	03/14/86
	4,701,794	10/20/87	Fröling et al.	358/147	04/12/85
	4,704,725	11/03/87	Harvey et al.	380/48	
	4,706,121	11/10/87	Young	358/142	05/06/86
	4,707,828	11/17/87	Yamada	370/85	09/10/85
	4,709,418	11/24/87	Fox et al.	455/612	09/14/83
	4,710,800	12/01/87	Fearing et al.	358/22	09/16/85
	4,710,919	12/01/87	Oliver et al.	370/96	
	4,710,955	12/01/87	Kauffman	380/10	11/25/85
	4,712,105	12/08/87	Köhler	340/825.69	04/30/85
	4,712,238	12/08/87	Gilhousen et al.	380/20	06/08/84
	4,712,239	12/08/87	Frezza et al.	380/20	06/16/86
	4,718,107	01/05/88	Hayes	455/4	
	4,720,819	01/19/88	Pinkham et al.	365/219	12/30/83
	4,722,526	02/02/88	Tovar et al.	273/1 E	01/20/87
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	4,724,491	02/09/88	Lambert	358/310	08/28/84
	4,728,949	03/01/88	Platte et al.	340/825.37	03/23/84
	4,731,679	03/15/88	O'Gwynn et al.	360/73	09/20/84
	4,733,301	03/22/88	Wright, Jr.	358/181	06/26/87
	4,734,764	03/29/88	Pocock et al.	358/86	05/06/85
	4,734,907	03/29/88	Turner	370/60	09/06/85
	4,736,422	04/05/88	Mason	380/120	
	4,737,993	04/12/88	DeVilbiss	455/180	01/21/87
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	4,740,890	04/26/88	William	364/200	12/22/83
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	4,745,549	05/17/88	Hashimoto	364/402	06/06/86
	4,745,598	05/17/88	Ulug	370/89	11/27/85
	4,747,081	05/24/88	Heilveil et al.	365/219	12/30/83
	4,747,139	05/24/88	Taaffe	380/44	10/21/86
	4,748,560	05/31/88	Kataoka	364/200	10/11/85
	4,750,036	06/07/88	Martinez	358/147	05/14/86
		06/07/88	Novak	455/67	06/09/86
	4,750,213 4,751,578	06/07/88	Reiter et al.	358/183	05/28/85

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INTIIAL	4,751,665	06/14/88	Cappello et al.	364/748	02/24/86
	4,751,732	06/14/88	Kamitake	380/20	<u> </u>
	4,754,326	06/28/88	Kram et al.	364/900	10/25/83
	4,704,020	00/20/00	Morales-Garza et	304/300	10/20/03
	4,755,871	07/05/88	al.	358/84	11/25/86
	4,755,883	07/05/88	Uehira	358/335	09/11/85
OIPE	4,761,646	08/02/88	Choquet et al.	340/825.520	05/20/86
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**EXAMINER** 

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